

SOLUTIONS FOR THE EXAMPLE SHEET 3

**Problem 1** □

- (a) Let  $A$  be the set of the positive integers less than 1000 which are not divisible through any of the numbers 2, 3, ..., 9, i.e.

$$A := \{n \mid n < 1000, i \nmid n, i \in [2, 9]\}.$$

We define the sets  $A_i := \{n \mid n < 1000, i \mid n\}$ , where  $i \in [9] \setminus \{1\}$ . We further have:  $A = [999] \setminus \cup_{i=2}^9 A_i = [999] \setminus (A_2 \cup A_3 \cup A_5 \cup A_7)$ , since  $A_4, A_6, A_8 \subset A_2$  und  $A_9 \subset A_3$ . We use inclusion-exclusion principle to show:

$$\begin{aligned} |A| &= 999 - \sum |A_i| + \sum |A_i \cap A_j| - \sum |A_i \cap A_j \cap A_k| + |A_2 \cap A_3 \cap A_5 \cap A_7| = \\ &= 999 - (499 + 333 + 199 + 142) + (166 + 99 + 71 + 66 + 47 + 28) - (33 + 23 + 14 + 9) + 4 \\ &= 228, \end{aligned}$$

where for  $I \subseteq \{2, 3, 5, 7\}$  holds:  $|\cap_{i \in I} A_i| = \lfloor \frac{999}{\prod_{i \in I} i} \rfloor$ .

- (b) Define the set  $B$  as those permutations that do not map any even number to itself:

$$B := \{\tau : \tau \in S_{10}, \tau(2i) \neq 2i \forall i \in [5]\}.$$

Further let  $B_i := \{\tau : \tau \in S_{10}, \tau(2i) = 2i\}$ , for every  $i \in [5]$ , i.e.  $B_i$  consists of those permutations from  $S_{10}$  that fix  $2i$ . Again, the principle of inclusion-exclusion yields:

$$\begin{aligned} |B| &= \sum_{J \subseteq [5]} (-1)^{|J|} |\cap_{j \in J} B_j| = \sum_{J \subseteq [5]} (-1)^{|J|} (10-j)! = \sum_{j=0}^5 (-1)^j \binom{5}{j} (10-j)! = \\ &= 10! - 5 \cdot 9! + 10 \cdot 8! - 10 \cdot 7! + 5 \cdot 6! - 5! = 2170680. \end{aligned}$$

where for  $J \subseteq \{1, 2, 3, 4, 5\}$  we have  $|\cap_{j \in J} B_j| = (10-j)!$ , since after fixing the images of  $j$  elements, we can extend this to a permutation in exactly  $(10-j)!$  ways.

**Problem 2** □

First we prove by induction on  $n$  that

$$\mathcal{P}(n) : \sum_{k=0}^n S_{n+1, k+1} x^k = (x+1)^n. \quad (1)$$

*Proof.*

**Induction start** ( $n = 0$ ):  $1 = 1$ .

**Induction step** ( $\mathcal{P}(n) \implies \mathcal{P}(n+1)$ ):

$$\begin{aligned}
(x+1)^{(n+1)} &\stackrel{\mathcal{P}(n)}{=} (x+1) \sum_{k=0}^n S_{n+1,k+1} x^k = \\
&\sum_{k=0}^n S_{n+1,k+1} x^{k+1} + k \sum_{k=0}^n S_{n+1,k+1} x^k + \sum_{k=0}^n S_{n+1,k+1} x^k = \\
&\sum_{k=1}^{n+1} S_{n+1,k} x^k + \sum_{k=0}^n (k+1) S_{n+1,k+1} x^k = \sum_{k=0}^{n+1} S_{n+2,k+1} x^k,
\end{aligned}$$

where we use the recurrence relation for Stirling numbers of the second kind:

$$S_{n+2,k+1} = S_{n+1,k} + (k+1)S_{n+1,k+1}.$$

□

Recall that  $x^n = \sum_{k=0}^n S_{n,k} x^k$ . Thus, if we multiply out  $(x+1)^n$  and replace  $x^n$  by  $\sum_{k=0}^n S_{n,k} x^k$  in (1), we obtain:

$$\sum_{k=0}^n S_{n+1,k+1} x^k = \sum_{m=0}^n \binom{n}{m} x^m = \sum_{m=0}^n \binom{n}{m} \sum_{i=0}^m S_{m,i} x^i,$$

and by comparing the coefficients in front of  $x^k$  (recall that  $x^k$ s form a basis), we get:

$$S_{n+1,k+1} = \sum_{m=0}^n \binom{n}{m} S_{m,k}. \quad (2)$$

We can also verify (2) by using the same function as in Problem 1(a) of the Example sheet 2. Since  $B_n = \sum_{i=0}^n S_{n,i}$  we use (2) and sum over all  $k \in \{0, 1, \dots, n\}$  ( $n \in \mathbb{N}_0$  and  $m \leq n$ ):

$$B_{n+1} = \sum_{k=0}^n S_{n+1,k+1} = \sum_{k=0}^n \sum_{m=0}^n \binom{n}{m} S_{m,k} = \sum_{m=0}^n \binom{n}{m} B_m.$$

**Problem 3**

□

- (a) Denote by  $\mathcal{Z}_n$  the set of all number-partitions of  $n$ , i.e. those tuples  $\mathbf{a} = (a_1, \dots, a_k) \in \mathbb{N}^k$  with  $\sum_{i \in [k]} a_i = n$  for some  $k$ . Further define two sets  $\mathcal{O}_n$  and  $\mathcal{N}_n$  as follows:

$$\begin{aligned}
\mathcal{O}_n &:= \{\mathbf{a} \in \mathcal{Z}_n \mid \text{all } a_i \text{ s are odd}\} \quad \text{und} \\
\mathcal{N}_n &:= \{\mathbf{a} \in \mathcal{Z}_n \mid \text{all } a_i \text{ s are pairwise distinct}\}.
\end{aligned}$$

Additionally, let  $E_i$ s be the following sets:

$$E_i := \{\mathbf{a} \mid \mathbf{a} \in \mathcal{Z}_n, \mathbf{a} \text{ contains } 2i\}.$$

Clearly, it is the case that:

$$\mathcal{O}_n = \mathcal{Z} \setminus \left( \bigcup_{i=1}^{\lfloor \frac{n}{2} \rfloor} E_i \right).$$

Thus, the inclusion-exclusion principle gives:

$$|\mathcal{O}_n| = |\mathcal{Z}_n| + \sum_{\emptyset \neq I \subseteq [\lfloor \frac{n}{2} \rfloor]} (-1)^{|I|} |\cap_{i \in I} E_i|. \quad (3)$$

For  $\mathcal{N}_n$  we introduce further sets  $F_i$  ( $i \in [\lfloor \frac{n}{2} \rfloor]$ ) as follows:

$$F_i := \{\mathbf{a} \mid \mathbf{a} \in \mathcal{Z}_n, \mathbf{a} \text{ contains as elements at least twice } i\}.$$

Similarly as before, it holds for  $\mathcal{N}_n$ :

$$\mathcal{N}_n = \mathcal{Z} \setminus \left( \bigcup_{i=1}^{\lfloor \frac{n}{2} \rfloor} F_i \right),$$

and again by the inclusion-exclusion

$$|\mathcal{N}_n| = |\mathcal{Z}_n| + \sum_{\emptyset \neq I \subseteq [\lfloor \frac{n}{2} \rfloor]} (-1)^{|I|} |\cap_{j \in I} F_j|. \quad (4)$$

By the definition of  $E_i$ s it follows immediately that there is a one-to-one correspondence between  $\cap_{i \in I} E_i$  and the number-partitions of  $n - \sum_{i \in I} 2i$ . Similarly for  $F_j$ s, there is a one-to-one correspondence between  $\cap_{j \in J} F_j$  and the number-partitions of  $n - \sum_{j \in J} 2j$ . Therefore, for  $I = J$  we have

$$|\cap_{j \in J} F_j| = |\cap_{i \in I} E_i|.$$

Now by (3) and (4) we immediately obtain  $|\mathcal{O}_n| = |\mathcal{N}_n|$ .

(b) If  $n = \lambda_1 + \dots + \lambda_k$ ,  $\lambda_1 > \dots > \lambda_k \geq 1$ , then set

$$\lambda_i = a_i 2^{t_i}, \text{ where } a_i \text{ is odd.} \quad (5)$$

Replacing now each  $\lambda_i$  by  $2^{t_i} a_i$ s in (5) we get (after rearranging the terms if necessary) a number-partition of  $n$  into odd terms ( $\lambda'_j$ ). The number of occurrences of the odd number  $\lambda'_j$  is

$$\sum_{a_i = \lambda'_j} 2^{t_i}.$$

Next we argue that the correspondence above is a bijection.

*injectivity:* Let  $\lambda$  and  $\beta$  be two different number-partitions of  $n$  into distinct terms (recall  $\lambda = \lambda_1 \dots \lambda_q$ ,  $\lambda_1 \geq \lambda_2 \geq \dots \lambda_q \geq 1$  and  $\sum_i \lambda_i = n$ ). Consider the number-partitions into odd numbers after applying the correspondence above (call them  $\lambda'$  and  $\beta'$ ). Suppose that  $\lambda'$  and  $\beta'$  are the same. Then any (odd) term  $\lambda'_i$  occurs the same number of times (say  $m$ ) both in  $\lambda'$  and  $\beta'$ . We can write  $m$  as  $m = \sum_j 2^{t_j}$  and  $m = \sum_j 2^{s_j}$ , where the sum runs over those  $j$ s for

which  $\lambda_j = \lambda'_i 2^{t_j}$  (and similarly for  $\beta_j$ ). Since all  $\lambda_j$  ( $\beta_j$ ) are distinct it follows that the sets of  $t_j$ s and  $s_j$ s are equal as well. Thus  $\lambda = \beta$ .

*surjectivity:* For each number-partition of  $n$  into odd terms  $\lambda'_i$ s, we can count how often each of these odd terms appears. For example, assume that  $\lambda'_i$  appears in  $\lambda'$  exactly  $m$  times. We can consider the (unique) binary expansion of  $m = \sum_j 2^{t_j}$  with  $t_j$ s being all distinct. Now replacing all terms  $\lambda'_i$  in  $\lambda'$  by the terms of the form  $\lambda'_i \cdot 2^{t_j}$  clearly yields the desired number-partition into distinct terms.

**Problem 4** □

This is the case when we put distinguishable balls into indistinguishable boxes.

- (a) 0 if  $n > k$  and 1 if  $n \leq k$  (injectivity - every box contains at most one ball)
- (b)  $S_{n,k}$  (surjectivity - every box contains at least one ball)
- (c)  $\sum_{j=0}^k S_{n,j}$  (there are no restrictions)

**Problem 5** □

Consider a set  $Z = X \dot{\cup} Y$  of  $m+n$  points, where  $X = \{x_1, \dots, x_n\}$  is an  $n$ -set of blue points and  $Y$  is an  $m$ -set of red points. How many  $k$ -subsets consist of red points only? The answer is obviously  $\binom{m}{k}$ . Let  $A_i$  be those  $k$ -subsets that contain  $x_i$ . Then  $|\cap_{i \in I} A_i| = \binom{m+n-|I|}{k-|I|}$ . Since there are  $\binom{m+n}{k}$   $k$ -subsets of  $Z$ , by the principle of the inclusion and exclusion we obtain that the number of  $k$ -subsets that do not contain any points from  $X$  is:

$$\binom{m+n}{k} - \sum_{\emptyset \neq I \subseteq X} (-1)^{|I|-1} |\cap_{i \in I} A_i| = \binom{m+n}{k} - \sum_{\emptyset \neq I \subseteq X} (-1)^{|I|-1} \binom{m+n-|I|}{k-|I|} = \sum_{i=0}^n (-1)^i \binom{n}{i} \binom{m+n-i}{k-i}$$

The desired identity follows.